

Tutorial 10: Recurrence and Graphs

Jesse Zhang

CUHK

November 19, 2009

Question

Find an explicit formula for the second-order recurrence relation

$$s_0 = 2, s_1 = 3 \text{ and } s_k = 2s_{k-1} + 3s_{k-2} \text{ for } k \geq 2.$$

Solution

- Form the auxiliary equation by substituting s_k by t^2 , s_{k-1} by t and s_{k-2} by 1:

$$t^2 = 2t + 3 \quad \text{or} \quad t^2 - 2t - 3 = 0$$

- Solve the quadratic equation:

$$t = \frac{-(-2) \pm \sqrt{(-2)^2 - 4(-3)}}{2} = \frac{2 \pm 4}{2} = -1 \text{ or } 3$$

- Distinct root case.

Solution (cont)

- Solution is of the form $s_k = A \cdot (-1)^k + B \cdot (3)^k$.

(By the distinct-roots theorem)

- Find A and B from the “initial conditions” s_0 and s_1 :

$$s_0 = 2 = A + B$$

$$s_1 = 3 = -A + 3B$$

- $A = 3/4, B = 5/4$.
- So $s_k = \frac{3}{4}(-1)^k + \frac{5}{4} \cdot 3^k$.
- If $(b^2 - 4ac)$ is negative, you can proceed using complex numbers (must be distinct root in this case).

Question

Find an explicit formula for the second-order recurrence relation

$s_0 = 0$, $s_1 = 4$ and $s_k = 4s_{k-1} - 4s_{k-2}$ for $k \geq 2$.

Solution

- Form the auxiliary equation by substituting s_k by t^2 , s_{k-1} by t and s_{k-2} by 1:

$$t^2 = 4t - 4 \quad \text{or} \quad t^2 - 4t + 4 = 0$$

- Solve the quadratic equation:

$$t = \frac{-(-4) \pm \sqrt{(-4)^2 - 4(4)}}{2} = \frac{4 \pm 0}{2} = 2$$

- Single root case.

Solution (cont)

- Solution is of the form $s_k = A \cdot (2)^k + B \cdot k \cdot (2)^k$.
(By the single-root theorem)
- Find A and B from the “initial conditions” s_0 and s_1 :

$$s_0 = 0 = A$$

$$s_1 = 4 = 2A + 2B$$

- $A = 0, B = 2$.
- So $s_k = 2k \cdot 2^k$.
- (It's just mechanical, nothing special :))

Question

Solve the recurrence relation

$$s_0 = 2, s_k = 3s_{k-1} + 2 \text{ for } k \geq 1$$

(Non-homogeneous because of the term “+2”)

Solution

- Transform it into second order linear homogeneous recurrence
- Write the recurrence for s_k and s_{k-1} :

$$s_k = 3s_{k-1} + 2$$

$$s_{k-1} = 3s_{k-2} + 2$$

- Subtract the second equation from the first:

$$s_k = 4s_{k-1} - 3s_{k-2}$$

- Proceed as usual

Tower of Hanoi with adjacency requirement (Ex. 8.1.18)

Let the pegs are lined up and labelled A , B and C . Now we only allow disk move between adjacent pegs, i.e. direct move from A to C is **not** allowed. Let a_n be the minimum # moves needed to transfer a tower of n disks from pole A to pole C .

(a) Find a_1 , a_2 , and a_3 .

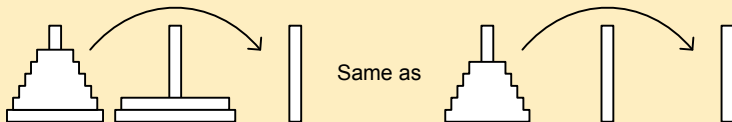
Solution

- $a_1 = 2$. Move disk from A to B and then to C .
- a_2 :
 - Move disk 1 from A to C . (2)
 - Move disk 2 from A to B . (1)
 - Move disk 1 back from C to A . (2)
 - Move disk 2 from B to C . (1)
 - Move disk 1 from A to C . (2)

So $a_2 = 8$.

Solution (cont)

- a_3 :
 - Want to move disk 3 from A to B . So need to move things on top to C . ($a_2 = 8$; treat that as a “black box” because all the disks below is of larger size so the “small-on-large” constraint is not violated.)



- Move disk 3 from A to B . (1)
 - Now want to move disk 3 from B to C . So need to move the 2 disks from C to A . (8; swap A and C in the above procedure)
 - Move disk 3 from B to C . (1)
 - Move the 2 disks from A to C . (8)
- $a_3 = 26$. Generalize it?

Tower of Hanoi with adjacency requirement (Ex. 8.1.18)

(c) Find a recurrence relation for a_k

Solution

- a_k : (Let T_k be the tower of the top k disks)
 - Want to move disk ~~k~~ k from A to B . So need to move ~~the 2 disks~~ T_{k-1} to C . (a_{k-1} moves; treat transferring of T_{k-1} as a “black box”)
 - Move disk k from A to B . (1)
 - Now want to move disk k from B to C . So need to move T_{k-1} from C to A . (a_{k-1} moves, by symmetry.)
 - Move disk k from B to C . (1)
 - Move T_{k-1} from A to C . (a_{k-1})
- So we have recurrence relation for a_k :
 $a_1 = 2, a_k = 3a_{k-1} + 2$ for $k \geq 2$.

Question

Is this optimal?

Solution

Yes. For the sake of moving the bottom disk, everything on top of it needs to be relocated elsewhere, which there is only **one** option (the pole other than the original pole and the destination pole)

Question

Is there a non-recursive solution for the original puzzle, which is easier to do by hand?

Solution

Yes. See

http://en.wikipedia.org/wiki/Tower_of_Hanoi#Non-recursive_solution
for details.

Question

What if there are 4 or more poles?

Solution

There are similar methods to solve recursively, but **not** proven to be optimal. See

http://en.wikipedia.org/wiki/Tower_of_Hanoi#Four_pegs_and_beyond for details.

Suggestion

Try out other variations of the Tower of Hanoi

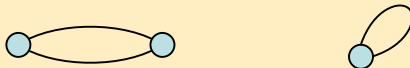
- 8.1.19 – 8.1.21 of textbook.
- What if we can only move disks from A to B, B to C, C to A, but not the other way round?

T/F Question 1

A graph without parallel edges is simple.

Solution

- A simple graph should have no parallel edges. . .

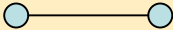


- . . . as well as no loops!
- **False**

T/F Question 2

$(2, 2, 1, 1, 1)$ is a degree sequence of a graph.

Solution

- **False.** Since the sum of degree is odd number, it cannot be a degree sequence of any graph
- By handshaking lemma, sum of degrees = twice # edges. . .
- . . . because every edge has two end points. 
- Implication: A graph cannot have an **odd** number of vertices with **odd** degree, e.g. 3 degree-1 vertices in the problem

Definition

Two graphs $G_1 = (V_1, E_1)$ and $G_2 = (V_2, E_2)$ are **isomorphic** if there is an *edge-preserving* vertex mapping, i.e.

\exists *bijection* $f : V_1 \rightarrow V_2$ s.t.

$u-v$ in E_1 **if and only if** $f(u)-f(v)$ in E_2

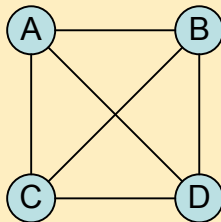
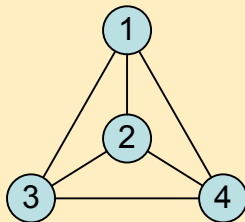
Example

Graphs that are isomorphic has

- Same # vertices
- Same # edges
- Same degree sequence
- Same properties (bipartiteness, connectedness, ...)

Question

Are the two graphs isomorphic to each other?



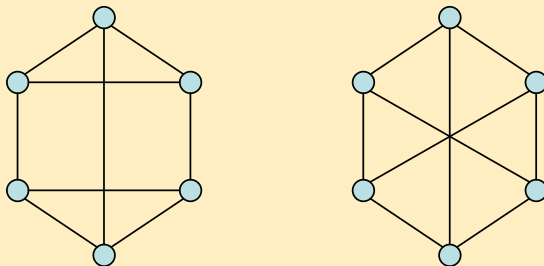
Solution

- Map 1 to A, 2 to B, 3 to C and 4 to D
- **Yes.** (In fact any mapping will do)
- Showing isomorphism is easy: Just show him the mapping of vertices. He can verify by checking all pairs of vertices by himself: $n(n-1)/2$ pairs

Question

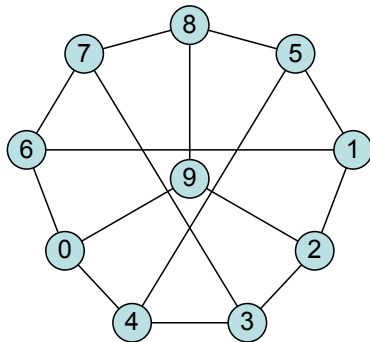
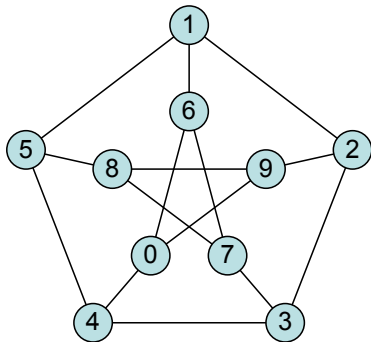
Same degree sequence imply the two graphs are isomorphic.

Solution



- Both are $(3, 3, 3, 3, 3, 3, 3)$ but **NOT** isomorphic
- Reason: Triangle on the left but not on the right
- (Give a degree sequence for a simple graph that is unique)

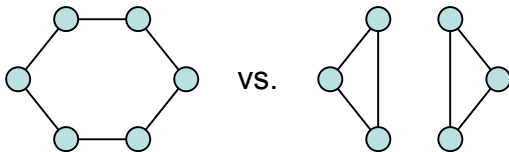
Showing isomorphism is easy; finding the bijection is not...



(Give a degree sequence for a simple graph that yields unique graph up to isomorphism)

There are a lot of solutions, including:

- $(0, 0, 0, \dots)$: Graph with no edges
- $(1, 1, 1, \dots)$ (n even): Vertices are paired up into pairs
- $(n-1, n-1, n-1, \dots)$: Complete graph (there is an edge between every pair of vertices)
- $(n-2, n-2, n-2, \dots)$ (n even): Consider the complement (edge \rightarrow non-edge and vice versa): becomes $(1, 1, 1, \dots)$.
(Two graphs are isomorphic if and only if their complement are isomorphic)
- How about $(2, 2, 2, \dots)$?



⇒ NO! There can be disjoint cycles

- $(1, n-2, n-2, \dots, n-2, n-1)$:

